



# Sujala Trading & Holdings Ltd.

## QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE

NAME OF THE COMPANY		SUJALA TRADING & HOLDINGS LIMITED			
QUARTER ENDED ON		31.03.2014			
SL.NO	PARTICULARS		CLAUSE OF THE LISTING AGREEMENT	COMPLIANCE STATUS (YES /NO/N/A)	REMARKS
I	<b>BOARD OF DIRECTORS</b>				
	A	COMPOSITION OF BOARD	49(IA)	YES	
	B	NON EXECUTIVE DIRECTORS COMPENSATION & DISCLOSURE	49(IB)	NIL	NO COMPENSATION IS PAID TO NON EXECUTIVE DIRECTORSEXCEPT SITTING FEES
	C	INDEPENDENT DIRECTOR	49(IC)	YES	
	D	BOARD PROCEDURE	49(ID)	YES	
	E	CODE OF CONDUCT	49(IE)	YES	COMPLIED WITH
	F	TERM OF OFFICE OF NON EXECUTIVE DIRECTORS	49(IF)	NO	
II	<b>AUDIT COMMITTEE</b>				
	A	QUALIFIED AND INDEPENDENT AUDIT COMMITTEE	49(IIA)	YES	
	B	MEETING OF AUDIT COMMITTEE	49(IIB)	YES	
	C	POWERS OF AUDIT COMMITTEE	49(IIC)	YES	
	D	ROLE OF AUDIT COMMITTEE	49(IID)	YES	
	E	REVIEW OF INFORMATION BY AUDIT COMMITTEE	49(IIE)	YES	
III	<b>AUDIT REPORTS &amp; AUDIT QUALIFICATIONS</b>		49(III)	YES	
IV	<b>WHISTLE BLOWER POLICY</b>		49(IV)	NO	DUE TO SIZE AND NATURE OF BUSINESS
V	<b>SUBSIDIARY COMPANIES</b>		49(V)	YES	
VI	<b>DISCLOSURE OF CONTINGENT LIABILITIES</b>		49(VI)	YES	
VII	<b>DISCLOSURES</b>				
	A	BASIS OF RELATED PARTY TRANSACTION	49(VIIA)	YES	
	B	BOARD DISCLOSURES	49(VIIB)	YES	
	C	PROCEEDS FROM INITIAL PUBLIC OFFERINGS	49(VIIC)	N.A	
	D	REMUNERATION OF DIRECTORS	49(VIID)	NO	
	E	MANAGEMENT	49(VIIE)	YES	
	F	SHAREHOLDERS	49(VIIF)	YES	
VIII	<b>CEO / CFO CERTIFICATION</b>		49(VIII )	YES	DIRECTOR CERTIFICATIONS
IX	<b>REPORT ON CORPORATE GOVERNANCE</b>		49(IX)	YES	
X	<b>COMPLIANCE</b>		49(X)	YES	

For SUJALA TRADING & HOLDINGS

*Robert Gupta*

Company Secretary